



JAW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jen-Chau Wu et al.

5 Examiner: PHAM, LONG Art Unit: 2814

Serial No.: 10/791,709 Filing Date: 03/04/2004

Docket No.: UECPO002USA

10 Title: ESD Protection Configuration and Method for Light
Emitting Diodes

To: Deposit Account Branch
Commissioner for Patents
P.O. Box 1450
15 Alexandria VA 22313-1450

Subject: Request for Deduction

Dear Sir,

20

The applicant filed a Request to supplement the deficiency
of the basic filing fee and the excess claim fee pursuant to
37 CFR 1.27 (C) on 02/03/2005 (Attachment 1) and authorized
the USPTO to deduct the balance \$455.00 from the Deposit
25 Account No. 50-3105 (Attachment 2). The applicant recently
found that the USPTO did not charged any fee so far.

Please deduct the balance \$455.00 from the Deposit Account
No. 50-3105 as soon as possible. Your quick response will be
30 appreciated.

Sincerely yours,

Winston Hsu

Date: 04/13/2006

5 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

) 10 Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



Attachment 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jen-Chau Wu Examiner : Unknown
Filing Date: 03/04/2004 Art Unit : Unknown
App. No. : 10/791,709 Docket No.: UECP0012USA

Title: ESD PROTECTION CONFIGURATION AND METHOD FOR LIGHT
 EMITTING DIODES

To: Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Subject: Request to supplement the deficiency of the basic
 filing fee and excessive claim fee pursuant to
 37 CFR 1.27(c)

Dear Sir:

The fee \$495.00 (\$385- Filing fee; \$70- Excessive claim fee; \$40- Assignment Recordation Fee) of the above-identified application was paid on 3/4/2004. But the entity status of the assignee was mistakenly selected as a small entity on the fee transmittal. The entity status should be a large entity. And the undersigned agent filed Notification of loss of entitlement to small entity status according to 37 CFR 1.27 (g) (2) on 2/3/2005.

The undersigned agent hereby requests to supplement the deficiency of the filing fee and the excessive claim fee pursuant

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to 37 CFR 1.27(c). Please deduct the balance \$455.00 from the
deposit account 50-3015. Your assistance is highly appreciated.

Respectfully submitted,

Winston Hsu

Date: FEB 03 2005

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to
talk to me. The time difference between D.C. and Taiwan is
13 hours. The preferred time period for telephone conversation
is 7 AM (or earlier) - 11 AM, D.C. time.

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Attachment 2

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

U.S. AMOUNT OF PAYMENT (\$ 455.00)

Complete if Known

Application Number	10/791,709
Filing Date	03/04/2004
First Named Inventor	Jen-Chau Wu
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	UECP0012USA

METHOD OF PAYMENT (check all that apply)

Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

NOTE: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

CALCULATION

BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Issue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

ADDITIONAL CLAIM FEES

Description	Fee (\$)	Small Entity Fee (\$)
	Fee (\$)	Fee (\$)
Claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Independent Claims				Multiple Dependent Claims	
<u>Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 20 or HP = _____ x _____ = _____					
highest number of total claims paid for, if greater than 20					
<u>Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>		
_____ - 3 or HP = _____ x _____ = _____					
highest number of independent claims paid for, if greater than 3					

APPLICATION SIZE FEE

If specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) or each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____				

ISSUE FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

here: Supplement the deficit of the issue fee

455.00

FILED BY

Registration No. 41,526 (Attorney/Agent)	Telephone 302-729-1562
Winston Hsu	Date FEB 03 2005

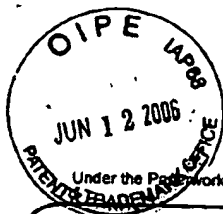
Information of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments about time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS OFFICE. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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04/17 45	10708354	ADTP0092USA	1504	\$300.00	\$25,267.00
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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/791,709	
	Filing Date	03/04/2004	
	First Named Inventor	Jen-Chau Wu	
	Art Unit	2814	
	Examiner Name	PHAM, LONG	
Total Number of Pages in This Submission	10	Attorney Docket Number	UECP0012USA

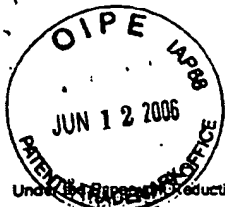
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the request for deduction submitted on 04/13/2006
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. A copy of the deposit account statement
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Name	North America Intellectual Property Corporation
Signature	<i>Winston Hsu</i>
Printed name	Winston Hsu
Date	JUN 09 2006
Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	<i>Stephanie Lai</i>
Typed or printed name	Stephanie Lai
Date	JUN 09 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attachment 1

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/791,709	
	Filing Date	03/04/2004	
	First Named Inventor	Jen-Chau Wu	
	Art Unit	2814	
	Examiner Name	PHAM, LONG	
Total Number of Pages in This Submission	6	Attorney Docket Number	UECP0012USA

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of the request letter submitted on 02/03/2005 (2 pages) 2. A copy of FEE TRANSMITTAL submitted on 02/03/2005
Remarks Request for Deduction		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	4/13/2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Janice Chen</i>		
Typed or printed name	Janice Chen	Date	4/13/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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